



3732

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicants:

Grooms, James, *et al.*

U.S. Serial No.: 09/905,683

Filed: July 13, 2001

For: "CORTICAL BONE CERVICAL SMITH-
ROBINSON FUSION IMPLANT"

Previous Group Art Unit: 3732

Examiner: Unknown

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NOVEMBER 15, 2002

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#9

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to 37 CFR §1.97(b)(3), Applicants wish to make of record the references cited on Form PTO 1449, copies enclosed, which may be considered material to the examination of the above-identified patent application. Two copies of PTO Form 1449, itemizing the disclosures are also enclosed.

Because this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits, no fee is due.

However, if any fees are due, the Assistant Commissioner is authorized to charge any fees for the filing of this paper to the Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd. A duplicate copy of this document is being

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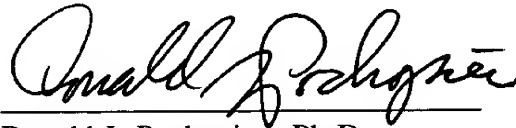
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cofiled herewith. The Applicants wish to make of record the cited art as disclosed on the Form 1449 as attached hereto in duplicate.

Respectfully submitted,

McANDREWS, HELD & MALLOY, LTD.

By:

A handwritten signature in cursive script, appearing to read "Donald J. Pochopien", written over a horizontal line.

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Dated: November 15, 2002

Form PTO-1449
(Rev. 8-83)
(modified)



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT(s):

Grooms, James, *et al.*

FILING DATE

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GROUP ART UNIT:

3732

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,277,149 B1	08/21/01	Boyle, <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.